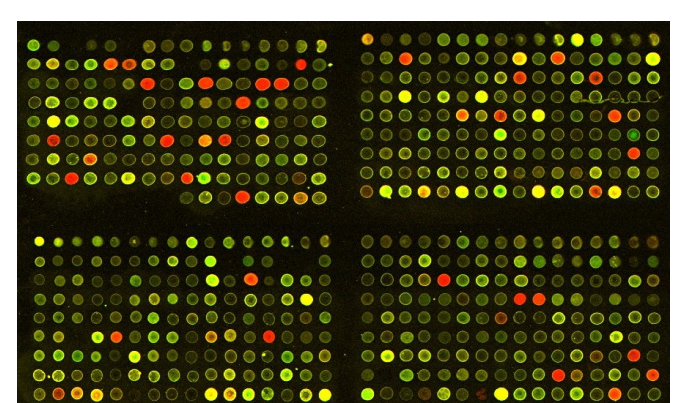


ABSTRACT

In genome-wide association studies, we are interested in finding genetic markers that are significantly associated with a phenotype of interest. Whole-genome single nucleotide polymorphism (SNP) data are collected for many thousands of SNP markers, leading to high-dimensional regression problems where the number of predictors greatly exceeds the number of observations. Moreover, these predictors are highly dependent, in particular due to linkage disequilibrium (LD).

We propose a two-step approach that explicitly takes advantage of the grouping structure induced by LD. In the first step, we infer LD blocks by performing a clustering of LD estimates with an adjacency constraint. In the second step, we perform Group Lasso regression on the inferred LD blocks.

GWA STUDIES



10^6 SNP can be genotyped in a single experiment

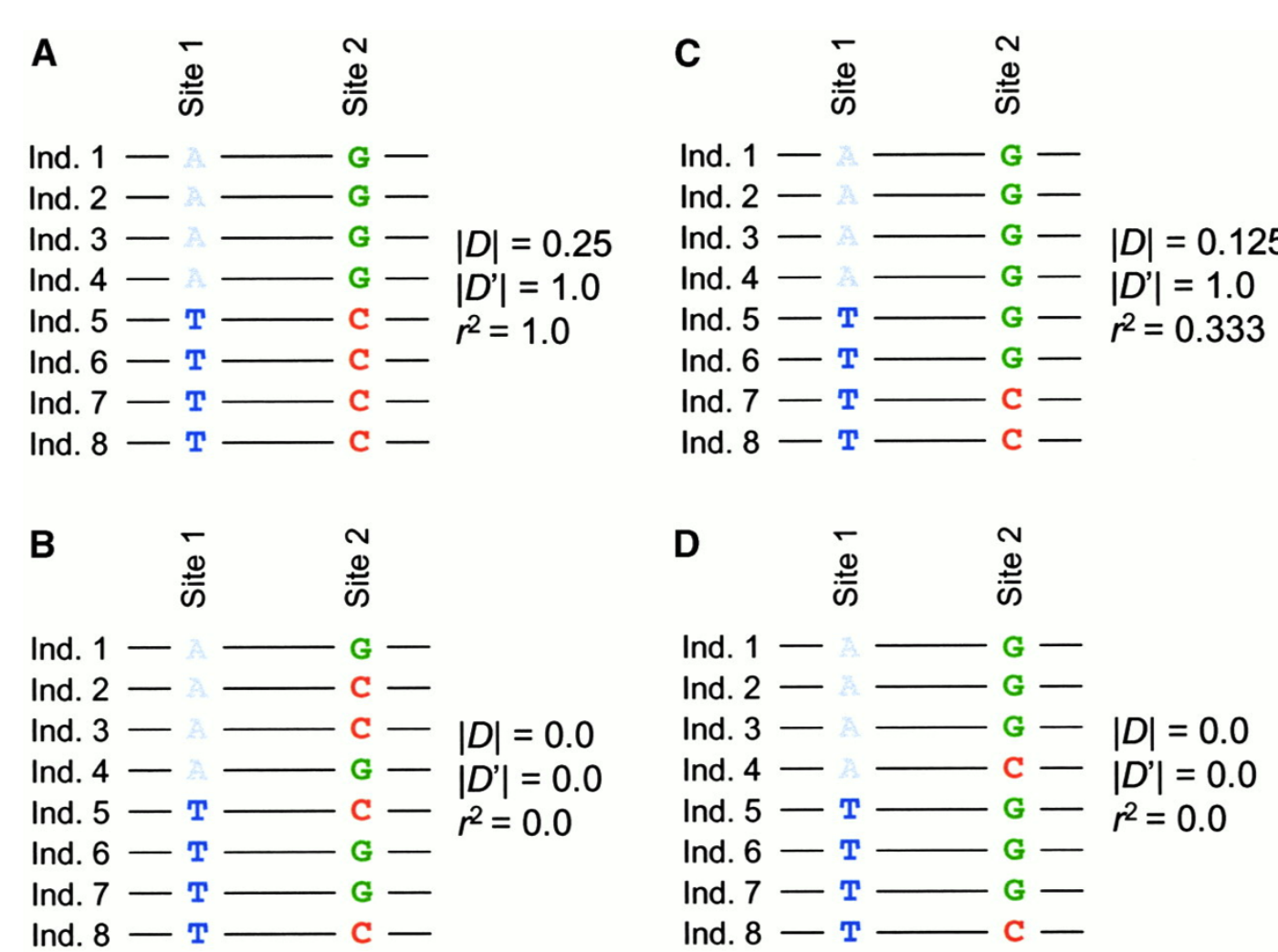
Design matrix with $n \ll p$

genotype data of p

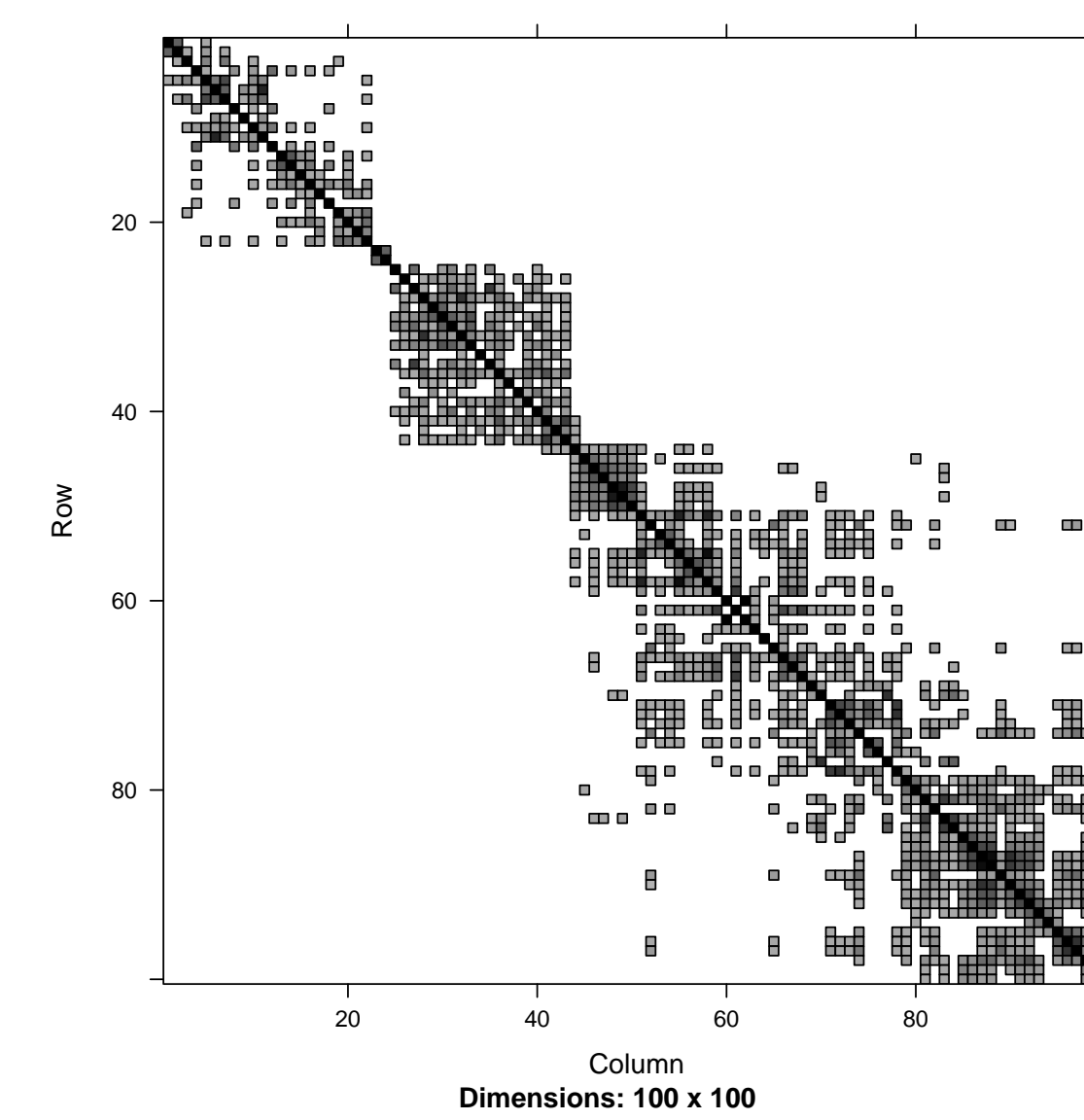
SNP are simultaneously collected for n patients

$$\begin{pmatrix} x_1^1 & x_1^2 & \dots & x_1^p \\ \vdots & \vdots & \ddots & \vdots \\ x_n^1 & x_n^2 & \dots & x_n^p \end{pmatrix}$$

LINKAGE DISEQUILIBRIUM



Two SNP sites typed from eight individuals (Gaut et. al. 2003).



r^2 coefficients among the first 100 SNP of Chromosome 6 in Dalmasso et al (2008).

LD-LEVEL INFERENCE

The problem of SNP selection is ill-posed

- **biologically**: associated SNP may not be genotyped
- **statistically**: strong dependence between SNP (due to LD) raises an identifiability problem.

State of the art: use of tag SNPs

Our proposal: selecting **LD blocks** associated with the phenotype.

A TWO-STEP APPROACH

Inference of blocks (from X only)

- A $p \times p$ matrix of LD pairwise measures is calculated.
- **Ward's Hierarchical Clustering with an adjacency constraint** (*R* package *rioja*)

Selection of associated blocks

- The **Group Lasso**: well-adapted to group-structured variables:

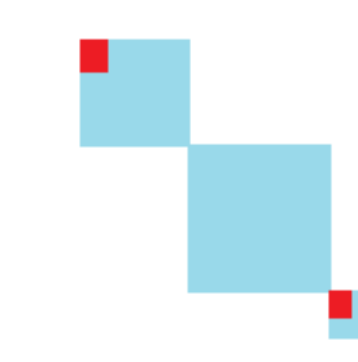
$$\hat{\beta}_\lambda = \arg \min_{\beta} (\|y - \mathbf{X}\beta\|_2^2 + \lambda \sum_{g=1}^G \sqrt{p_g} \|\beta_g\|_2).$$

SIMULATION STUDY

Parameters

- $n = 200$, $p = 512$, $K = 9$ groups of sizes (2, 2, 4, 8, 16, 32, 64, 128, 256).
- The first 2 SNPs of groups of sizes 2, 2, 4, 8 are associated with the phenotype.
- $cov(X_{.j}, X_{.j'}) = \rho \mathbf{1}_{j=j'}$.
- Coefficient of determination: $R^2 = 0.2$.

Definition of associated SNPs



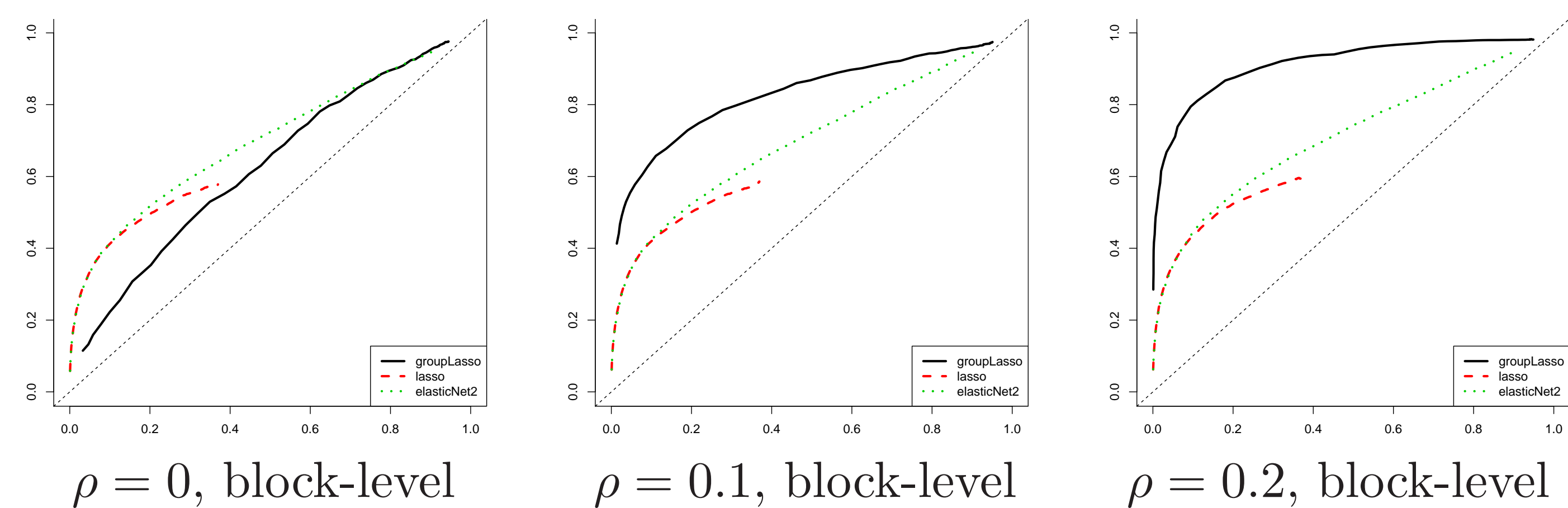
SNP-level



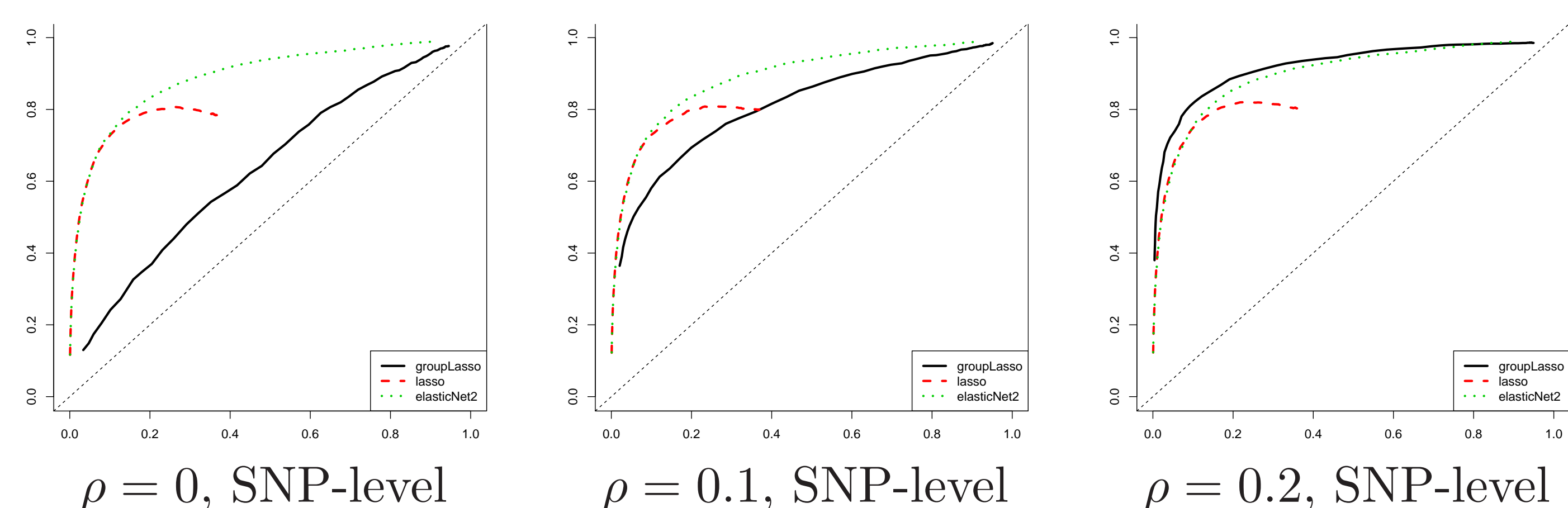
Block-level

KNOWN TRUE NUMBER OF CLUSTERS

The proposed method is well-adapted to LD-structured data

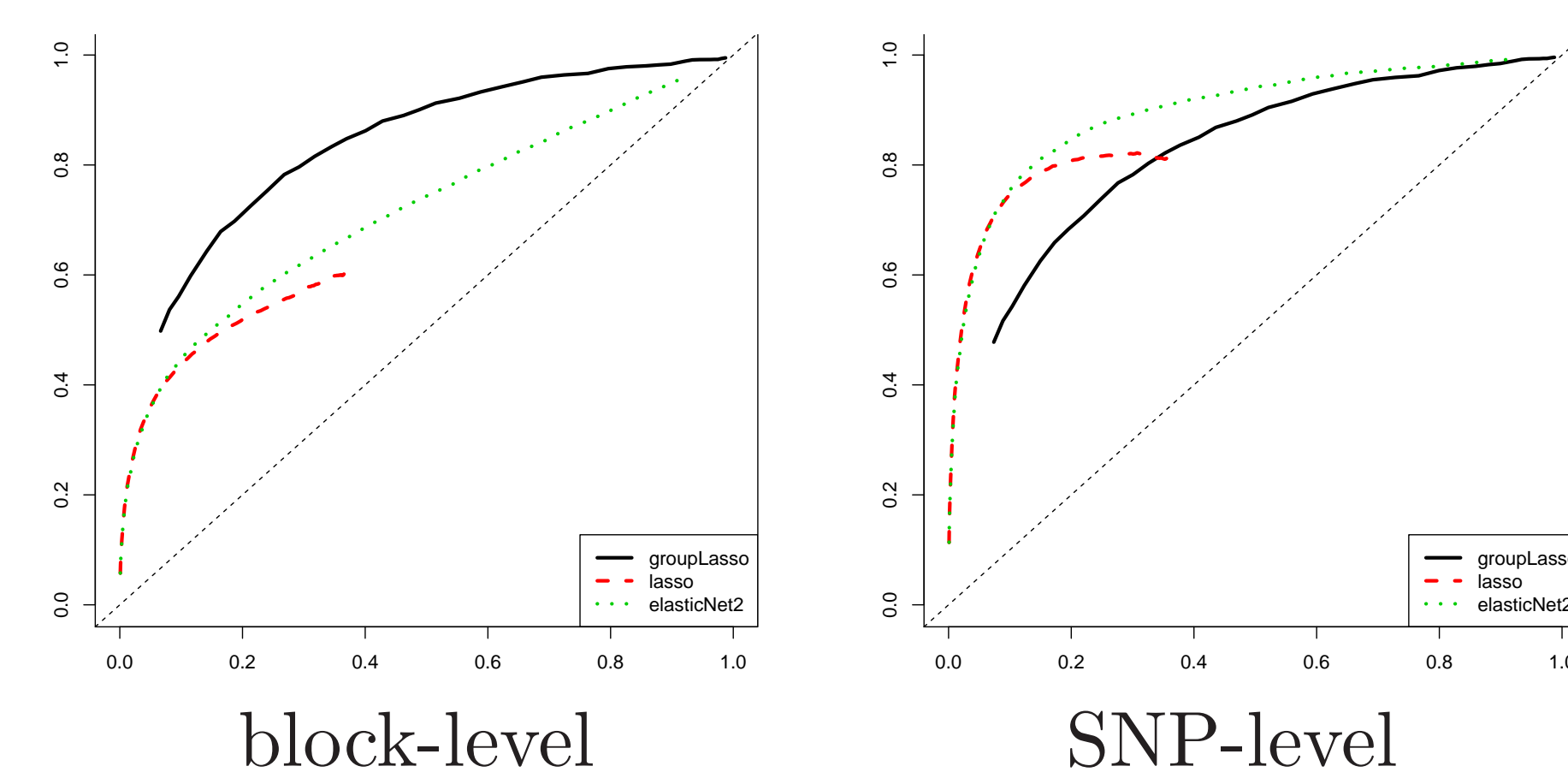


Lasso and Elastic-Net outperformed at the SNP-level for $\rho \geq 0.2$



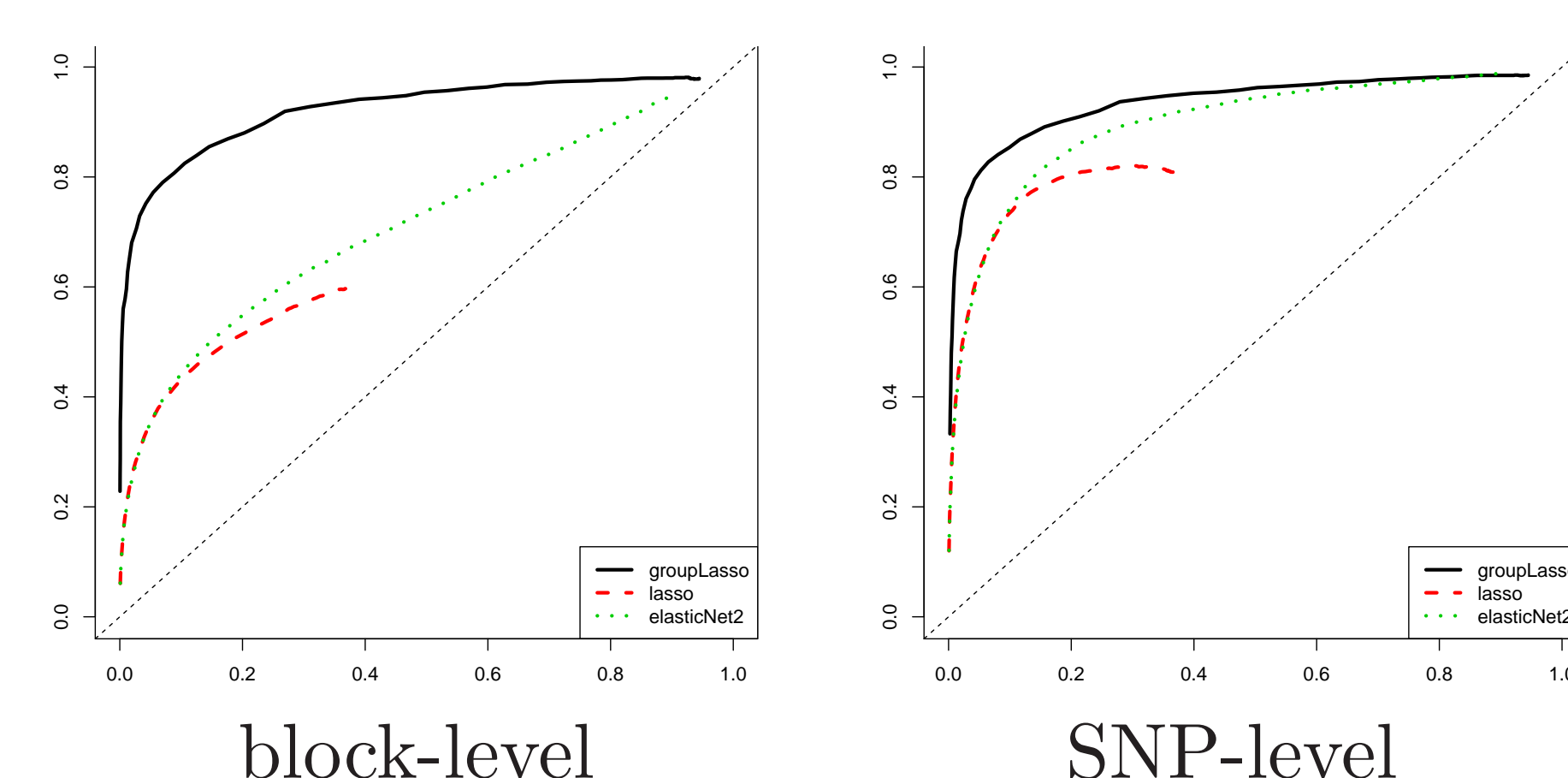
MISSPECIFIED NUMBER OF CLUSTERS

Forcing 5 groups to be selected when $K=9$ ($\rho = 0.2$)



The Group Lasso makes errors by canceling or activating too large groups

Forcing 13 groups to be selected when $K=9$ ($\rho = 0.2$)



The Group Lasso can activate the right small blocks among the ones clustered